

April 11, 2014

To: Elaine Armstrong

From: Peggy Boyajian

Re: Contract Amendment FY14

Your contract amendment for FY14 is enclosed effective June 1, 2014 – June 30, 2014. Please review, sign and return it to our office *prior* to April 30, 2014. Use the list of items below as a check list so that all items are returned completed. If there will be difficulty returning it by that date, please let me know.

Please return the following:

- 1. Original signed contract, all pages
- 2. Appropriately signed original corporate resolution on letterhead making sure that the "full force and effect" date is on or after the day that the contract is signed OR the date of the Resolution is the same date as the signed date of the contract. Please indicate on the bottom of the letter if you don't have a corporate seal, by printing the initials, "L.S.", and stating that the corporation does not have a legal seal
- 3. Nondiscrimination Certification Form C, again making sure that the date signed is the same date the contract is signed (form provided)
- 4. OPM Form 1: Gift and Campaign Contribution Certification, signed and notarized (form provided)
- 5. OPM Form 5: Consulting Agreement Affidavit signed and notarized (form provided)
- 6. Commission on Human Rights and Opportunities (CHRO) Notification to Bidders, completed and signed. (form provided) Please make sure that all the questions for Parts I and II on pages 3 and 4 are answered and Parts IV and V are completed
- 7. FY2013 Birth to Three Contracts: Please review the towns agreed to serve, sign and return with the completed contract. If there are any changes, please notify Linda Goodman.

If you have any questions, please call me at 860-418-8714.

Sincerely,

....

Peggy Boyajian

Peggy Boyajian

Central Office 460 Capitol Avenue Hartford, CT 06106-1308 Phone 866-888-4188 Fax 860.418.6003 TDD 860-418-6079 Web Site www.birth23.org



STATE OF CONNECTICUT DEPARTMENT OF DEVELOPMENTAL SERVICES BIRTH TO THREE SYSTEM CONTRACT AMENDMENT

Revised October 2011

Contract Contact Person: Lynn S. Johnson Contact Phone: 860-418-6141

Contractor: A.W. Holdings of Connecticut, LLC (A.W. Holdings, LLC)

Street: 8515 Bluffton Road

City: Fort Wayne, IN 46809

FEIN/SS#: 20-8906852

Contract ID# 12DDS0701BT

Amendment #: 1

Effective date: 6/1/14

Term of Contract 7/1/12 – 6/30/17

A. The Contract between **A.W. Holdings of Connecticut, LLC (A.W. Holdings, LLC)** (the Contractor) and the Department of Developmental Services (the Department) to operate a Birth to Three Program executed by the parties on 6/29/2012 is hereby amended as follows:

1. \square Section 16 of the Contract is amended to reflect a change in the total maximum amount payable under this contract. The Contract is \square increased \square decreased (choose one) by \$3,000,000 to a new maximum amount of \$4,000,000 because the Contractor:

has conducted a different number of initial evaluations or initial IFSP meetings than anticipated

is serving a different number of eligible children than anticipated

is serving a different number of children needing intensive services than anticipated

has required more assistive technology device reimbursement than anticipated

has collected less third party revenue than anticipated

has been granted a Cost of Living Adjustment or rate increase

 \boxtimes has been granted an extension of the contract's ending date.

2. \square Section 1 b. of the Contract is amended to reflect a change in the ending date of the term of this Contract from 06/30/2014 to 06/30/2017

3. The Contractor has chosen to decline the amount of the cash advance as stated in Section ______ of the contract.

4. The Contractor's name is changed from _____ to ____ while retaining the same Federal Employer Identification Number.

Original Contract #12DDS0701BT

Amendment #: 1 (For Internal Use Only)

ACCEPTANCES AND APPROVALS: By the Contractor: The Contractor herein(IS NOT) a Business Associate under HIPAA: A.W. Holdings of Connecticut, LLC (A.W. Holdings, LLC) Contractor (Corporate/Legal Name of Contractor) Signature (Authorized Official)* Date *A corporate resolution to demonstrate the authorization to sign must be attached. (Typed Name of Authorized Official) Title By the Department: DEPARTMENT OF DEVELOPMENTAL SERVICES **Department Name** Signature (Authorized Official) Date Chief Fiscal and Administrative Services Mary Fuller (Typed Name of Authorized Official) Title By the Office of the Attorney General (approved as to form): Assistant/Associate Attorney General Date

(X) This contract amendment does not require the signature of the Attorney General pursuant to an Agreement between the department and the Office of the Attorney General, as amended on <u>10/11</u>



STATE OF CONNECTICUT NONDISCRIMINATION CERTIFICATION - Affidavit **By Entity** For Contracts Valued at <u>\$50,000 or More</u>

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

_____ of _____ Name of Entity , an entity an oath. I am ____ Signatory's Title

duly formed and existing under the laws of _____ Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

	_	
Name 🤉	of	Entity

and that _____ Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1)and 4a-60a(a)(1), as amended.

Authorized Signatory

Printed Name

Sworn and subscribed to before me on this _____ day of _____

Commissioner of the Superior Court/ Notary Public

Commission Expiration Date

OPM Ethics Form 1

Rev. 11-29-11 Page 1 of 2



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2)

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

□ 12 Month Anniversary Update (Multi-year contracts only.) Initial Certification CHECK ONE:

> Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- "Contract" means that contract between the State of Connecticut (and/or one or more of it agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State 1)
- If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" 2) means the date this certification is signed by the Contractor;
- "Contractor" means the person, firm or corporation named as the contactor below; "Applicable Public Official or State Employee" means any public official or state employee described in 3) 4)
- C.G.S. §4-252(c)(1)(i) or (ii); "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor,
- and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C). 6)

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (II) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a Gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for <u>statewide public office</u>, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidate for <u>statewide public</u> office or party committee established by, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

Contribution Date	Name of Contributor	e of Contributor Recipient		Description	
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Lawful Campaign Contributions to Candidates for the General Assembly:

Contribution Date	Name of Contributor	Recipient	Value	Description	
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Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Contractor Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this _____ day of _____, 20___,

Commissioner of the Superior Court (or Notary Public)

OPM Ethics Form 5



STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavil to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: ____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, **except for the agreement listed below**:

Consultant's Name	and Title	Name of Firm (if applicable)
Start Date	End Date	Cost
Description of Servi	ces Provided:	
Is the consultant a	former State employee or forr	ner public official? 🔲 YES 🗌 NO
	ormer State Agency	Termination Date of Employment
출장한 것 전 선정 소설	사회 15 년 19년 11 - 김 씨는 것이라가 최 신성가영 11일 7일 12월 7일 2월 11일 1일 중시간 이번 방송 방송가 영향을 반응	pelief, subject to the penalties of false statement.
Printed Name of B	이 제외한 방법 이 동안 정시한 것이 가지가 동안 동안정 전에 있다. 에너리 이 너무 동안 있는 것이 가지가 지난 것이 같은 것이다. 이 아이지 아무 동안 있는 것이 가지가 지난 것이 있는 것이다.	of Principal or Key Personnel Date
	1 : 19일 : 22 : 23 : 23 : 23 : 23 : 23 : 24 : 25 : 25 : 25 : 25 : 25 : 25 : 25	ME (이 1993) 이 이 이 가격 한 것이 이 것같은 것이라. 응답되고 같은 것으로 그 그 그 가격 것 같은 것 같은 것이라.
Sworn and subs	cribed before me on this	day of, 20
	Com	nissioner of the Superior Court

or Notary Public

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES CONTRACT COMPLIANCE REGULATIONS NOTIFICATION TO BIDDERS

(Revised 09/17/07)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials." "Minority business enterprise" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as "(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4) Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . ." An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

- (a) the bidder's success in implementing an affirmative action plan;
- (b) the bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder's promise to develop and implement a successful affirmative action plan;
- (d) the bidder's submission of employment statistics contained in the "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following BIDDER CONTRACT COMPLIANCE MONITORING REPORT must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's Illgood faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

Definition of Small Contractor 1)

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

2) Description of Job Categories (as used in Part IV Bidder Employment Information) (Page 2)

MANAGEMENT: Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

MARKETING AND SALES: Occupations related to the act or process of buying and selling products and/or services such as sales engineer, retail sales workers and sales representatives including wholesale.

LEGAL OCCUPATIONS: In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegals, legal assistants.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists

ARCHITECTURE AND ENGINEERING: Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, bill and account collectors, customer service representatives, dispatchers, secretaries and administrative assistants, computer operators and clerks (such as payroll, shipping, stock, mail and file).

BUILDING AND GROUNDS CLEANING AND MAINTENANCE: This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

CONSTRUCTION AND EXTRACTION: This category includes construction trades and related occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category..

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

PRODUCTION WORKERS: The job titles included in this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic; and production workers.

3) Definition of Racial and Ethnic Terms (as used in	Part IV Bidder Employment Information) (Fage 5)
3) Definition of Racial and Eurine Ferme (de treatment) White (not of Hispanic Origin)- All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East. <u>Black(not of Hispanic Origin)- All persons having</u> origins in any of the Black racial groups of Africa. <u>Hispanic</u> - All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.	<u>Asian or Pacific Islander</u> - All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa. <u>American Indian or Alaskan Native</u> - All persons having

BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART I - Bidder Information

Company Name Street Address City & State Chief Executive	Bidder Federal Employer Identification Number Or Social Security Number
Major Business Activity (brief description)	Bidder Identification (response optional/definitions on page 1)
	-Bidder is a small contractor. YesNo -Bidder is a minority business enterprise YesNo (If yes, check ownership category) BlackHispanicAsian AmericanAmerican Indian/Alaskan NativeIberian PeninsulaIndividual(s) with a Physical Disability Female
Bidder Parent Company (If any)	- Bidder is certified as above by State of CT Yes No No
Other Locations in Ct. (If any)	

PART II - Bidder Nondiscrimination Policies and Procedures

1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes_No	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? YesNo			
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? YesNo	8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? YesNo			
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy?	9. Does your company have a mandatory retirement age for all employees? YesNo			
YesNo	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? YesNoNA			
5. Do you notify the Ct. State Employment Service of all employment openings with your company? YesNo	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes_No_NA			
6. Does your company have a collective bargaining agreement with workers? Yes_No	12. Does your company have a written affirmative action Plan? Yes No			
 6a. If yes, do the concerve barganning grant workers? Yes_No	13. Is there a person in your company who is responsible for equal employment opportunity? Yes_No_ If yes, give name and phone number. Yes			

Part III - Bidder Subcontracting Practices

(Page 4)

1. Will the work of this contract include subcontractors or suppliers? Yes No_

1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)

1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above?

Yes_No_

PART IV - Bidder E	mployment	Informat	ion		Dat	:e:			·		
JOB CATEGORY *	OVERALL TOTALS	w	HITE Hispanic	BL. (not of origi	ACK Hispanic n)	HISP	ANIC	ASIAN ISLAN	or PACIFIC DER		AN INDIAN or N NATIVE
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Management			ļ	ļ						<u> </u>	
Business & Financial Ops						-	<u> </u>			· · · · ·	
Marketing & Sales									· · ·	+	
Legal Occupations											
Computer Specialists								,			<u>.</u>
Architecture/Engineering						_	ļ	•			
Office & Admin Support		<u> </u>									
Bldg/ Grounds Cleaning/Maintenance					-			. 			
Construction & Extraction											
Installation, Maintenance & Repair							 	 			
Material Moving Workers					, 		_				
Production Occupations										-	
TOTALS ABOVE								<u> </u>			
Total One Year Ago							<u> </u>				
	FOR	MAL ON THE	JOB TRAINEES	(ENTER FIC	JURES FOR THE	SAME CAT	EGORIES A	S ARE SHOW	N ABOVE)		
Apprentices				_							
Trainees						-		<u> </u>			

*NOTE: JOB CATEGORIES CAN BE CHANGED OR ADDED TO (EX. SALES CAN BE ADDED OR REPLACE A CATEGORY NOT USED IN YOUR COMPANY)

PART V - Bidder Hiring and Recruitment Practices						(Page 5)
 Which of the following recruitment sources are used by you? (Check yes or no, and report percent used) 			 Check (X) any of the below listed requirements that you use as a hiring qualification (X) 		3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination	
SOURCE	YES	NO	% of applicants provided by source			
State Employment Service					Work Experience	
Private Employment Agencies					Ability to Speak or Write English	
Schools and Colleges					Written Tests	
Newspaper Advertisement					High School Diploma	
Walk Ins					College Degree	
Present Employees					Union Membership	
Labor Organizations					Personal Recommendation	
Minority/Community Organizations					Height or Weight	
Others (please identify)					Car Ownership	
			•		Arrest Record	}
		ŀ		1	Wage Gamishments	

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)	
· ·				